



Account Opening Form

Version-1

REQUIRED DOCUMENTS

All documents required as ORIGINAL COPY can be submitted as scanned copy first for initial review by the Compliance Department. You will be advised when to submit the original documents.

- A. Account Opening form (*ORIGINAL COPY*)
- B. Trade License
- C. Memorandum and Article of Association / Share Register
- D. Passport copies of all beneficial owners of the company (regardless if they will not operate your account) Passport copies of all authorized signatories declared to operate on this account.
- E. Personal Utility Bill (*i.e. water bill, electric bill, bank detail, lease agreement or any other document showing home address*)
- F. For scrap jewellery and/or pure gold supply chain customers, please submit the following:
 - Sample of Invoices(*at least 2-3*) showing the purchased date and country of origin
 - should be dated at least 1 year from the date of this application
 - should submit for each country of origin
 - Source of Gold statement (*sample format will be sent upon request*)
- G. For Dore (*mine originated*) bars supply chain customers, please submit the following:
 - G (1) If you receive gold from a mine supplier/owner
 - -Supplier's trade license
 - -Supplier's Export License
 - -Supplier's Memorandum and Article of Association
 - -Mine owner's Mining License (*from which mine the gold come from*)
 - -Supplier's passport copies (*all beneficial owners mentioned on MOA*)
 - G (2) If you are a mine owner
 - Mining License
 - Passport copy of mine owner

IMPORTANT NOTICES:

- ✓ We only accept documents in English and Arabic. Documents in other languages should be translated by a notary public.
- ✓ These are the initial required documents; other documents might be requested accordingly if necessary.
- ✓ Agreements/Contracts will be sent after compliance department has approved your application.
- ✓ For more assistance/questions regarding your application, please contact our CRM department via mail:info@udsgold.com

A. GENERAL INFORMATION

Company Name <i>(as per Trade License)</i> :	
Country of Establishment:	Year of Incorporation:
Beneficial Owner/Authorized Signatory No.1:	
Beneficial Owner/Authorized Signatory No.2:	
Beneficial Owner/Authorized Signatory No.3:	
Office Address <i>(Office No./Floor no./Bldg .no./Street/City/P.O.Box)</i> :	
Telephone Number <i>(s)</i> :	Fax Number:
Mobile Number <i>(s)</i> :	
Email <i>(Mandatory)</i> :	Website <i>(if any)</i> :
Do you have a company policy? Yes <input type="checkbox"/> No <input type="checkbox"/> <i>If yes please provide a copy for references</i>	
Source of Wealth by the company/firm:	
List of other business activities:	
1.)	3.)
2.)	4.)
BankDetails <i>*(for payment purposes only)</i> Please note that UDS GOLD does not provide THIRD PARTY PAYMENT For any of our clients	
BankName:	
BankAddress:	
Year(s) of relationship with the bank:	
Beneficiary Name:	
Beneficiary Address:	
Account Number:	

As the Beneficial Owner and/or Authorized Signatory, I hereby warrant that the information above is to the best of my knowledge and all facts stated are accurate.

B. PERSONAL INFORMATION

*Personal information is for 3 individuals only. If there are more than 3, please print another copy of this page accordingly.

Beneficial Owner/Authorized Signatory No.1:	
<input type="checkbox"/> Beneficial Owner <input type="checkbox"/> Authorized Signatory <input type="checkbox"/> Both	
<input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs. Complete Name:	
Date of Birth:	Source of Wealth (Net worth)
Nationality:	<input type="checkbox"/> Business <input type="checkbox"/> Salary <input type="checkbox"/> Inheritance/Gift <input type="checkbox"/> Investment Incomes <input type="checkbox"/> Others(please specify): _____
Current Home Address:	Estimated total net worth(AED): _____
MobileNumber (s):	
EmailAddress:	

Beneficial Owner/Authorized Signatory No.2:	
<input type="checkbox"/> Beneficial Owner <input type="checkbox"/> Authorized Signatory <input type="checkbox"/> Both	
<input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs. Complete Name:	
Date of Birth:	Source of Wealth (Net worth)
Nationality:	<input type="checkbox"/> Business <input type="checkbox"/> Salary <input type="checkbox"/> Inheritance/Gift <input type="checkbox"/> Investment Incomes <input type="checkbox"/> Others(please specify): _____
Current Home Address:	Estimated total net worth(AED): _____
MobileNumber (s):	
EmailAddress:	

Beneficial Owner/Authorized Signatory No.3:	
<input type="checkbox"/> Beneficial Owner <input type="checkbox"/> Authorized Signatory <input type="checkbox"/> Both	
<input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs. Complete Name:	
Date of Birth:	Source of Wealth (Net worth)
Nationality:	<input type="checkbox"/> Business <input type="checkbox"/> Salary <input type="checkbox"/> Inheritance/Gift <input type="checkbox"/> Investment Incomes <input type="checkbox"/> Others(please specify): _____
Current Home Address:	Estimated total net worth(AED): _____
Mobile Number (s):	
Email Address:	

As the Beneficial Owner and/or Authorized Signatory, I hereby warrant that the information above is to the best of my knowledge and all facts stated are accurate.

Authorized Signature:

PHYSICAL BUSINESS

Refining Services Gold Silver Others (Please specify): _____

Scrap Bar Trading (Originating from jewellery)
Country of Origin: _____ Expected Purity: _____

Dore (Originating from the mine) Bars
Supplier's Name: _____ Country: _____
Supplier's Name: _____ Country: _____

Pure Bars (995/999.9) Purchase Sales

Type of Delivery: Cargo Hand-Carry Both

Expected Quantity per delivery/pickup:

<u>Gold</u>	<u>Silver</u>	Others (please specify): _____
Weekly: _____ kg	Weekly: _____ kg	Weekly: _____ kg
Monthly: _____ kg	Monthly: _____ kg	Monthly: _____ kg

List of precious metals companies you deal with:
Company Name: _____ Country: _____
Company Name: _____ Country: _____

TRADING BUSINESS

Precious Metals and FX Trading



Do you keep records of companies that you usually buy metals from?

Yes No

If yes, please choose from the following:
 Passport Copy Mining License Others (Please specify)

PROPOSED BUSINESS DETAILS

As the Funds/Shareholder Owner and Legal Beneficiary, I hereby warrant that the information above is to the best of my knowledge an accurate statement of fact.

Authorized Signature:

D. SPECIMEN SIGNATURE

Please affix the signature for each Beneficial Owner/Signatory

Beneficial Owner/Authorized Signatory No.1:	
Name:	Signature:
Beneficial Owner/Authorized Signatory No.2:	
Name:	Signature:
Beneficial Owner/Authorized Signatory No.3:	
Name:	Signature:

F. DECLARATION

Source of Funds and Equities

The undersigned hereby warrants to U D S GOLD TRADING LLC that the Metals/Funds to be delivered to U D S GOLD TRADING LLC for refining or trading have been procured through legal means and have been acquired from legitimate sources not involved in funding conflict or in compliance with any United Nations sanctions, resolutions, or human rights violations. To the best of my knowledge, I the undersigned hereby guarantee that these Metals are conflict-free, free from child labour, and have been sourced through proper channels.

Source of Metals

I the undersigned agree that all documents (actual invoice, custom's paper, airway bill) regarding the origin of the metal will be declared to U D S GOLD TRADING LLC. This is part of the policies and procedures implemented by the compliance department and in line with DMCC and OECD's Guidance for Responsible Supply Chain. In case of any future changes with the origin of my precious metal, I agree to advise the company beforehand and to provide supporting documents prior to sending any delivery/shipment. Upon having my account, I will be responsible to update my files and submit any documents that are necessary for the compliance team.

Company Name: _____

Beneficial Owner/Authorized Person(s) Name: _____

Signature: _____

Date: _____

Company Stamp: _____